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May 19, 2003

WRITER'S DIRECT NUMBER: (202) 772-8851 **INTERNET ADDRESS:** RSCHWART@SKGF.COM

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Mail Stop RCE

Re:

U.S. Utility Patent Application

Appl. No. 09/769,420; Filed: January 26, 2001

Substituted Nicotinamides and Analogs as Activators

of Caspases and Inducers of Apoptosis and the Use Thereof

Inventors:

Cai et al.

Our Ref:

1735.0440001/RWE/RAS

RECEIVED

MAY 2 2 2003

TECH CENTER 1600/2900

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Fee Transmittal (Form PTO/SB/17);
- 2. Request for Continued Examination (RCE) Transmittal;
- 3. Form PTO-2038 Credit Card Payment Form for \$375.00 to cover fee for filing a Request for Continued Examination; and
- 4. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Sterne, Kessler, Goldstein & Fox PLLC.: 1100 New York Avenue, NW: Washington, DC 20005: 202.371.2600 f 202.371.2540: www.skgf.com

Commissioner for Patents May 19, 2003 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Robert A. Schwartzman, Ph.D.

Agent for Applicants Registration No. 50,211

RWE/RAS:law Enclosures

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MAY 1 9 2003

Under the Paperwork Reduction Act of 1995, no persons

ANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☑Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF	FPAYMENT	(\$)375.00
TOTAL AMOUNT OF	1 77 1 101 12 17 1	(4)0.0.00

Complete if Known						
Application Number	09/769,420					
Filing Date	January 26, 2001					
First Named Inventor	Sui Xiong Cal					
Examiner Name	McKenzie, T.					
Group Art Unit	1624					
Attorney Docket No.	1735.0440001/RWERASEIVED					

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)						· — —		
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☐ Check 🔀 Credit card ☐ Money Order 🔀 Other** ☐ None	1	Entity		II Entit	tv				
Deposit Account Deposit Account Number 19-0036	Fee Code	Fee	Fee Code	Fee (\$)	Fee Description	TECH CE	NTER 1	600/2800	
Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C.	1051	130	2051	65	Surcharge - late filing fe				
		50	2052	25	Surcharge-late provisio				
The Commissioner is authorized to: (check all that apply)		130	1053	130	sheet Non-English specification				
Charge fee(s) indicated below Credit any over payments		2,520			.	or filing a request for ex parte reexamination			
Charge any additional fee(s) during the pendency of this application		920*		920*	Requesting publication of SIR prior to Examiner				
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.					action				
** Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.	1805	1,840*	1805	1,840*	Requesting publication action	of SIR after E	-xaminer		
	1251	110	2251	55	Extension for reply with	in first month			
	1252	410	2252	205	Extension for reply with	in second mo	onth		
FEE CALCULATION	1253	930	2253	465	Extension for reply with	in third montl	ח		
1. BASIC FILING FEE	1254	1,450	2254	725	Extension for reply with	in fourth mor	ith		
Large Entity Small Entity	1255	1,970	2255	985	Extension for reply with	in fifth month	ı		
Fee Fee Fee Fee Fee Description Fee Paid	1401	320	2401	160	Notice of Appeal				
Code (\$) Code (\$)	1402	320	2402	160	Filing a brief in support	of an appeal			
1001 750 2001 375 Utility filing fee	1403	280	2403	140	Request for oral hearin				
1003 520 2003 260 Plant filing fee	1451	1,510	1451	1,510	Petition to institute a pu				
1004 750 2004 375 Reissue filing fee	1452	110	2452	55	Petition to revive - unav	Petition to revive - unavoidable			
1105 160 2005 80 Provisional filing fee	1453		2453	650	Petition to revive - unintentional				
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2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from	ı				Design issue fee				
Extra below Fee Paid	1503	630	2503	315	Plant issue fee				
Total Claims 20** = X = Indep. Claims 3** = X =	1460	130	1460	130	Petitions to the Commis	ssioner			
Multiple Dependent =	1807	50	1807	50	Processing fee under 3	37 CFR 1.17(q)		
	1806	180	1806	180	Submission of Informat	ion Disclosur	e Stmt		
Large Entity Small Entity Fee Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)	8021	40	8021	40	Recording each patent	Recording each patent assignment per property (times number of properties)			
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1203 280 2203 140 Multiple dependent claim, if not paid	1810	750	2810	375	For each additional inve (37 CFR 1.129(b))	ention to be e	examined		
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**or number previously paid, if greater; For Reissue, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)375.00									
SUBMITTED BY Complete (if applicable)									
Name (Print/Type) Robert A. Schwartzman, Ph.D.	Registration No. (Attorney/Agent) 50,211 Telephone 202-371-2600			1-2600					
Signature SIAF Or Wood from)				Date	May 19, 2	2003		

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.